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### Hypothesis

# Polymer crowders and protein crowders act similarly on protein folding stability

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### ARTICLE INFO

ABSTRACT

Article history: Received 1 January 2013 Revised 11 January 2013 Accepted 13 January 2013 Available online 23 January 2013

Edited by Peter Brzezinski

*Keywords:* Macromolecular crowding Protein folding Crossover temperature Recently a polymer crowder and two protein crowders were found to have opposite effects on the folding stability of chymotrypsin inhibitor 2 (Cl2), suggesting that they interact differently with Cl2. Here we propose that all the macromolecular crowders act similarly, with an entropic component favoring the folded state and an enthalpic component favoring the unfolded state. The net effect is destabilizing below a crossover temperature but stabilizing above it. This general trend is indeed observed in recent experiments and hints experimental temperature as a reason for the opposite crowding effects of the polymer and protein crowders.

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### 1. Introduction

There is now growing recognition that the crowded conditions found in cellular environments can significantly impact the equilibria of biochemical processes such as protein folding [1]. A number of studies [2–6] reported increases in protein folding stability by polymer crowders such as Ficoll and dextran, although the magnitudes are modest, of the order of  $1-2 k_{\rm B}T$  ( $k_{\rm B}$ : Boltzmann constant; T: absolute temperature) around room temperature. Extending these studies, Pielak and co-workers [7–9] investigated the effects of both a polymer crowder, poly(vinylpyrrolidone) (PVP), and two protein crowders, lysozyme and bovine serum albumin (BSA), on the folding stability of a small protein chymotrypsin inhibitor 2 (CI2), a known reversible two-state folder [10]. In line with the other studies, the polymer crowder PVP was found to have a moderate stabilizing effect on CI2, but the two protein crowders were found to be destabilizing, leading to the suggestion that polymers and proteins behave differently as crowding agents. Here we propose that both polymer and protein crowders act similarly on the test protein, with an entropic component that favors the folded state and an enthalpic component that favors the unfolded state. This unifying mechanism explains the apparently opposite effects of the polymer and protein crowders as well as

Abbreviations: BSA, bovine serum albumin; CI2, chymotrypsin inhibitor 2; PVP, poly(vinylpyrrolidone)

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the very recent temperature-dependent crowding effects of Pielak and co-workers [11,12].

## 2. Temperature-dependent change of crowding effects on folding stability: existence of a crossover temperature

The temperature dependence of the unfolding free energy,  $\Delta G$ , can be derived based on the generally accepted assumption that  $\Delta C_{\rm p}$ , the change in heat capacity upon unfolding, is temperatureindependent. Then the unfolding enthalpy and unfolding entropy depend on temperature as [13]:

$$\Delta H(T) = \Delta H(T_{\rm ref}) + \Delta C_{\rm p}(T - T_{\rm ref}) \tag{1}$$

$$\Delta S(T) = \Delta S(T_{\rm ref}) + \Delta C_{\rm p} \ln(T/T_{\rm ref})$$
<sup>(2)</sup>

where  $T_{\rm ref}$  is an arbitrary reference temperature. From these two components, one obtains

$$G(T) = \Delta H(T) - T\Delta S(T)$$
(3a)

Another standard thermodynamic relation is

$$\Delta S(T) = -\frac{\partial \Delta G(T)}{\partial T}$$
(3b)

We choose  $T_{ref}$  to be the temperature, denoted as  $T_s$ , where  $\Delta G(T)$  is maximum. The maximum of any function corresponds to a zero slope, therefore it follows from Eq. (3b) that, at  $T = T_s$ ,  $\Delta S$  is zero and hence the value of  $\Delta H$ , denoted as  $\Delta H_s$ , is the same as the  $\Delta G$  maximum. Consequently

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$$\Delta H(T) = \Delta H_{\rm s} + \Delta C_{\rm p}(T - T_{\rm s}) \tag{4}$$

$$\Delta S(I) = \Delta C_p \ln(I/I_s) \tag{5}$$

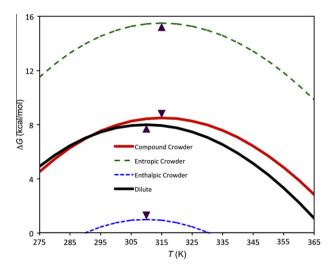
Now consider the folding equilibrium in a crowded solution. The changes in  $\Delta H$ ,  $\Delta S$ , and  $\Delta G$  by crowding will be denoted as  $\delta \Delta H$ ,  $\delta \Delta S$ , and  $\delta \Delta G$ , respectively. We first make the simplifying assumption that crowding does not affect  $\Delta C_p$ . This amounts to neglecting the temperature dependence of  $\delta \Delta H$ . Given that the effects of crowding on folding stability are found to be small in all cases and the "observable" temperature range is usually narrow, this zeroth-order assumption seems justified. Then crowding can only affect the other two parameters,  $T_s$  and  $\Delta H_s$ . Let the temperature at which the unfolding free energy in the crowded solution achieves maximum be  $T_s + \delta T_s$ , and the latter maximum be  $\Delta H_s + \delta \Delta H_s$ . We have

$$\begin{split} \delta \Delta H &= \delta \Delta H_{\rm s} - \Delta C_{\rm p} \delta T_{\rm s} \eqno(6) \\ \delta \Delta S &= -\Delta C_{\rm p} \ln(1 + \delta T_{\rm s}/T_{\rm s}) \eqno(7) \end{split}$$

Note that  $\delta \Delta S$  is only affected by  $\delta T_s$  but  $\delta \Delta H$  can be affected by both  $\delta T_s$  and  $\delta \Delta H_s$ , and both  $\delta \Delta H$  and  $\delta \Delta S$  are independent of temperature.

Based on the preceding observation, we define three kinds of crowding behaviors (Fig. 1). A purely "entropic" crowder is one that results in a non-zero  $\delta \Delta S$  but a zero  $\delta \Delta H$ , and is obtained when a change in the maximum-stability temperature is accompanied by a compensating change in the maximum stability such that  $\delta \Delta H_s = \Delta C_p \delta T_s$ . Assuming  $\delta \Delta S < 0$  (corresponding to a positive  $\delta T_s$ ), an entropic crowder results in an increase in the unfolding free energy at every temperature, and the increase grows with increasing T (since the entropic component of  $\Delta G$  is weighted by T). An "enthalpic" crowder, obtained when only  $\Delta H_s$ , not  $T_s$ , is changed, results in a uniform decrease in  $\Delta G$  (assuming  $\delta \Delta H_s < 0$ ) at all temperatures. Finally a "compound" crowder has both  $\delta \Delta H$  and  $\delta \Delta S$ non-zero. For  $\delta T_s > 0$  and  $\delta \Delta H < 0$ , the compound crowder results in a  $\Delta G$ -vs.-*T* curve that crosses that for the dilute solution. The existence of a crossover temperature,  $T_x$ , is a signature of the compound crowding behavior. At the crossover temperature,  $\delta \Delta G = 0$ , by which we find

$$T_{\rm x} = \frac{\delta \Delta H}{\delta \Delta S} = \frac{-\delta \Delta H}{\Delta C_{\rm p} \ln(1 + \delta T_{\rm s}/T_{\rm s})} \approx \frac{-\delta \Delta H}{\Delta C_{\rm p} \delta T_{\rm s}} T_{\rm s}$$
(8)



**Fig. 1.** Three kinds of crowding behaviors. The curve for the dilute solution is calculated using typical experimental values ( $\Delta C_p = 1.5 \text{ kcal/mol/K}$ ;  $T_s = 310 \text{ K}$  (indicated by arrow); and maximum stability  $\Delta H_s = 8 \text{ kcal/mol}$ ). The enthalpic, entropic, and compound crowders have  $\delta T_s = 0$ , 5, and 5 K; and  $\delta \Delta H = -7$ , 0, and -7 kcal/mol, respectively.

When the temperature goes from below  $T_x$  to above it, the crowder changes from being a destabilizer to a stabilizer.

All intermolecular interactions have hard-core repulsion. This repulsion between the test protein molecule and the surrounding crowder molecules leads to an entropic component favoring the folded state of the test protein (i.e.,  $\delta \Delta S < 0$ ), because the folded state is more compact and hence experiences less repulsion by the crowder molecules (Fig. 2A and B). This was predicted initially by theoretical models based on representing test protein and crowders as hard particles [14] and more recently by molecular simulations based on atomistic or coarse-grained representations [15-17]. (The hard-core repulsion could result in compaction of unfolded proteins, as observed in some experiments [18–20].) Potentially macromolecular crowders could behave like an entropic crowder. However, intermolecular interactions do not die out beyond the hard core: the soft part of the interactions is generally attractive. This soft part of interactions (also referred to as chemical interactions [12,21]) between a test protein molecule and crowder molecules is modeled in recent simulations [22-24]. As the unfolded state is more open and hence the residues are more accessible to crowder molecules, the soft part of interactions will lead to an enthalpic component that favors the unfolded state (i.e.,  $\delta \Delta H < 0$ ) (Fig. 2A and B). Therefore all macromolecular crowders are expected to exhibit the compound crowding behavior, with a crossover temperature at which,  $\delta \Delta G$ , the effect of crowding on the unfolding free energy, changes sign (Fig. 2C). Note that, according to Eq. (8),  $T_x$  is increased by increasing the magnitude of  $\delta \Delta H$ and decreased by increasing  $\delta T_s$ . A crossover temperature has also been predicted for the effects of crowding on protein binding stability [25].

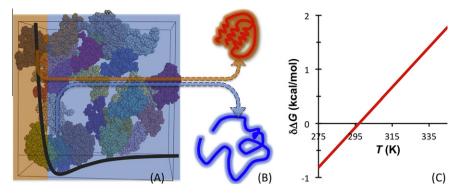
### 3. Experimental evidence for crossover temperature

Recently Pielak and co-workers studied the temperaturedependent crowding effects of two polymers (PVP and Ficoll) and two proteins (lysozyme and BSA), all at 100 g/l, on the folding stability of ubiquitin [12]. Their results for all the four crowders, reanalyzed here (Fig. 3 and Supplementary Fig. SI), conform to the compound crowding behavior, with upshift in the maximum-stability temperature (signifying  $\delta \Delta S < 0$ ) and crossing of the  $\Delta G$ vs.-*T* curves for dilute and crowded solutions (signifying  $\delta \Delta H < 0$ ). For each crowder, the net effect is destabilizing below a crossover temperature but stabilizing above it. The crossover temperatures for PVP, Ficoll, lysozyme, and BSA are 48 °C, 28 °C, 24 °C, and 37 °C, respectively.

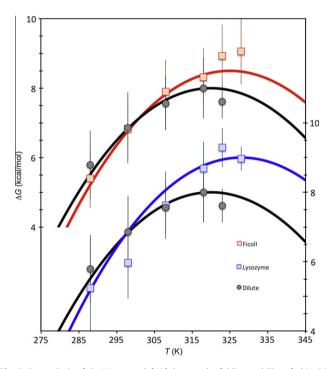
This brings us to a simple explanation for the opposite effects of the polymer and protein crowders observed by Pielak and coworkers on the CI2 stability [7–9]. We notice that the experiments with the polymer crowder (PVP) were done at a higher temperature, 37 °C, whereas the experiments with the lysozyme and BSA crowders were done at a lower temperature, 20 °C. As any crowder exhibiting the compound crowding behavior would have a stabilizing effect at some higher temperature and a destabilizing effect at some lower temperature, the difference in the experimental temperatures seems to be a significant contributing factor for the opposite crowding effects observed.

This conclusion is reinforced by a re-analysis (Fig. 4) of the limited temperature-dependent data of 100 g/l Ficoll crowding on Cl2 published very recently [11]. The data is consistent with a 5 °C upshift in the maximum-stability temperature and a 3.8 kcal/mol decrease in  $\Delta H$ . These parameter values result in a crossover temperature of 12 °C, below which Ficoll is expected to become destabilizing.

The destabilization of Cl2 by 100 g/l lysozyme at 20 °C was 0.6 kcal/mol [9]. No temperature dependent data are available for this crowder. As illustration, the 0.6 kcal/mol destabilization at 20 °C can be produced by a 10 °C upshift in the maximum-stability



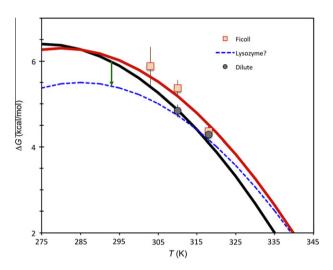
**Fig. 2.** Illustration of the effects of macromolecular crowders on protein folding stability. (A) The interactions between a test protein and surrounding crowder molecules consist of hard-core repulsion and longer-ranged attraction. (B) The hard-core repulsion leads to an entropic component that favors the folded state of the test protein, whereas the longer-ranged attraction leads to an enthalpic component that favors the unfolded state. (C) Because in the folding free energy the entropic component is weighted by the temperature, the net effect of macromolecular crowding has a crossover temperature. The net effect is destabilizing (i.e.,  $\delta\Delta G < 0$ ) below the crossover temperature but stabilizing above it.  $\delta\Delta G$  is calculated using the parameters in Fig. 3 legend for lysozyme as a crowder in the folding of ubiquitin.



**Fig. 3.** Re-analysis of the Wang et al. [12] data on the folding stability of ubiquitin.  $\Delta C_p = 1.5 \text{ kcal/mol/K}$  for the dilute solution [12,28] and for all the crowders; though Wang et al. [12] allowed  $\Delta C_p$  to float in their fitting, this seems uncalled for, given the large uncertainties of the data (see Fig. SI legend) and the narrow experimental temperature range.  $T_s = 320 \text{ K}$  and  $\Delta H_s = 8 \text{ kcal/mol}$  for the dilute solution;  $\delta T_s = 5$  and 8 K and  $\delta \Delta H = -7$  and -11 kcal/mol, respectively, for Ficol and those on the right are for the lower two curves (Iysozyme and dilute); the curve for dilute solution is duplicated to serve as reference for the two crowders.

temperature and an 8.1 kcal/mol decrease in  $\Delta H$ . The crossover temperature is then 42 °C, above which lysozyme is expected to become stabilizing. Hopefully these predictions will motivate new experiments.

While the existence of a crossover temperature is predicted to be universal, its exact value for a given test protein depends on the size, shape, and chemical nature of the crowders. It is possible that synthetic polymer crowding agents such as Ficoll and dextran may exert weaker soft attraction than protein crowders toward a test protein. Pielak and co-workers measured the effects of polymer and protein crowders on the translational and rotational diffusion and the NMR relaxation of CI2 [21]. The results are consistent



**Fig. 4.** Illustrative analysis of the limited data of Benton et al. [11] on the folding stability of Cl2. The curve for the dilute solution has  $\Delta C_p = 0.72 \text{ kcal/mol/K}$  [10],  $T_s = 2 \,^{\circ}\text{C}$ , and  $\Delta H_s = 6.4 \text{ kcal/mol}$  (the corresponding melting temperature, 75 °C, and melting enthalpy, 60 kcal/mol, are comparable to experimental data [10]). The Ficoll curve has  $\delta T_s = 5 \,^{\circ}\text{C}$  and  $\delta \Delta H = -3.7 \text{ kcal/mol}$ ; a hypothetical lysozyme curve, with a 0.6 kcal/mol destabilizing effect at 20 °C (indicated by arrow), has  $\delta T_s = 10 \,^{\circ}\text{C}$  and  $\delta \Delta H = -8.1 \text{ kcal/mol}$ .

with the protein crowders exerting stronger soft attraction toward Cl2. Stronger soft attraction will produce a larger enthalpic component favoring the unfolded state of the test protein, leading to a higher crossover temperature (assuming fixed  $\delta T_s$ ). On the other hand, for a given test protein, whether synthetic polymers produce a larger or smaller entropic component than protein crowders is uncertain.

The foregoing remarks concern the comparison among different crowders acting on the same test protein. Since the effect of crowding is determined by the interaction between the test protein and the crowder, analogous comments can be made about a given crowder acting on different test proteins. To a crude approximation, the enthalpic component of the crowding effect can be modeled as proportional to the change,  $\Delta A$ , in protein-crowder contact surface area on going from the folded and to the unfolded state. So a test protein with a larger  $\Delta A$  will have a larger enthalpic component. One expects that the entropic component of the crowding effect also grows with increasing  $\Delta A$ . Such enthalpy–entropy compensation makes the dependence of the crossover temperature on  $\Delta A$  uncertain. Quantitative predictions on the enthalpic and

entropic components of the crowding effect are beyond the scope of the present analysis and will require atomistic modeling of the test protein in the folded and unfolded states and its interactions with crowder molecules.

In summary, disparate data for polymer and protein crowders on folding stability can be explained by a unified mechanism, with all macromolecular crowders producing an entropic component that favors the folded state and an enthalpic component that favors the unfolded state. The size, shape, and chemical nature of the crowders determine the relative weights of the two components, but in all cases the net effect is destabilizing below a crossover temperature and stabilizing above it. Stabilization at higher temperatures has now indeed been observed for a protein crowder [26] and in living cells [27]. The conclusion drawn here can be further tested by measuring folding stability of thermophilic proteins under crowding at very high temperatures. It will indeed be interesting to see whether macromolecular crowding contributes more to the protein folding stability in thermophiles than in mesophiles at their respective living temperatures.

### Acknowledgments

I thank Gary Pielak and an anonymous reviewer for insightful comments on the manuscript. This work was supported in part by Grant GM88187 from the National Institutes of Health.

### Appendix A. Supplementary data

Supplementary data associated with this article can be found, in the online version, at http://dx.doi.org/10.1016/j.febslet.2013. 01.030.

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